

FACTS

WHAT DOES Small Cap Consumer Research LLC DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and personal contact information, such as address, phone number, etc.

When you are *no longer* our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Small Cap Consumer Research LLC, (hereinafter referred to as SCC) chooses to share; and whether you can limit this sharing.

Reason we can share your personal Information	Does SCC Share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your	Yes	No

account(s), respond to court orders and legal investigations, or report to credit bureaus		
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don't share
For our affiliates' to market you	Yes	Yes
For non-affiliates' to market you	No	We don't share

To limit our sharing and questions

Send an email to privacy@smallcapconsumerresearch.com or write us at Small Cap Consumer Research LLC, Attention: Privacy, 340 Madison Avenue, 19th Floor, New York, NY 10173. Indicate what you wish to opt out of.

Who we are

Who is providing this notice?

Small Cap Consumer Research LLC

What we do

How does SCC protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.